



3 0200

Attorney Docket No. YOR9-2000-0205-US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): L.D. Bergman et al.

SERIAL NO.: 09/614,141

FILED: July 13, 2000

FOR: METHODS AND APPARATUS FOR DISTRIBUTED RESOURCE
DISCOVERY USING EXAMPLES

I hereby certify that this paper is being deposited on this date with the U.S. Postal Service as first class mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature:

Judith E. Ryan

Date: August 2, 2000

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants' attorney wishes to bring to the attention of the Patent and Trademark Office the following documents listed on the accompanying Form PTO-1449. A copy of each listed document is enclosed.

Other Documents

1. K. Rose, et al., "Entropy-Constrained Tree-Structured Vector Quantizer Design," IEEE Transactions on Image Processing, Vol. 5, No. 2, pp. 393-398, February 1996.
2. C. Chinrungrueng et al., "Optimal Adaptive K-Means Algorithm with Dynamic Adjustment of Learning Rate," IEEE Transactions on Neural Networks, Vol. 6, No. 1, pp. 157-169, January 1995.
3. L. Kaufman et al., "Finding Groups in Data: An Introduction to Cluster Analysis," John Wiley & Sons, Chapter 3, pp. 37-50, 1990.
4. P.K. Simpson, "Artificial Neural Systems: Foundations, Paradigms, Applications, and Implementations," Neural Networks: Research and Applications, Pergamon Press, Chapter 3, pp. 7-22, 1990.

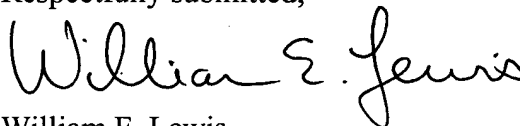
5. L. Breiman et al, "Classification and Regression Trees," Construction of Trees from a Learning Sample, Wadsworth & Brooks/Cole Advanced Books & Software, Chapter 11, pp. 297-306, Pacific Grove, CA, 1984.

6. Y. Linde, et al., "An Algorithm for Vector Quantizer Design," IEEE Transactions on Communications, Vol. Com-28, No. 1, pp. 84-95, January 1980.

7. R.O. Duda et al., "Pattern Classification and Scene Analysis," Bayes Decision Theory, John Wiley & Sons, Chapter 2, pp. 10-20, Menlo Park, California, 1973.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability, or as a representation that no other material information exists.

Respectfully submitted,

A handwritten signature in black ink that reads "William E. Lewis". The signature is written in a cursive, flowing style.

William E. Lewis
Reg. No. 39,274
Attorney for Applicants

Date: August 2, 2000
Ryan & Mason, L.L.P.
90 Forest Avenue
Locust Valley, New York 11560
(516) 759-2946